

Profile: Banking and Financial Services

Experience and Expertise

Anthony has acted for a number of international capital markets participants including arrangers, servicers, special servicers, managers, swap providers, swap counterparties, prime brokers and mono-line insurers in both London and New York in relation to the U.K. and European regulatory aspects of wholesale debt and equity issuances covering cash, synthetic and arbitrage synthetic structures. This includes CDOs, CBOs, CFOs, CMBS RMBS and MTN programmes offered to qualified investors and investment professionals across the European Union.

In particular, he has advised on:

- The regulatory treatment of Viatical Settlements
- The regulatory capital treatment of wholesale debt structures under the Basel Capital Accord and FCA's Prudential Standards (BIPRU and GENPRU Rules)
- The promotion of wholesale debt structures in compliance with FCA's Listing, Prospectus, Disclosure, Transparency and Market Conduct Rules as well as with FCA's Conduct of Business Rules
- LSE Rules applicable to listed debt products
- The Financial Collateral Directive and the enforceability of the close out and netting provisions of ISDA governed derivative instruments including Credit Default Swaps, Total Rate Return Swaps and Interest Rate Swaps
- The enforceability of a vanilla fixed-to-floating interest rate swap entered into in order to hedge a controlled amortising facility.

Anthony has also acted for a number of U.S. arrangers of SEC Regulation S and Rule 144A debt structures established offshore in Ireland, Cayman Islands and BVI, whose securities were offered pursuant to Article 3 of the Prospectus Directive in both the U.K. and other jurisdictions within the EEA.